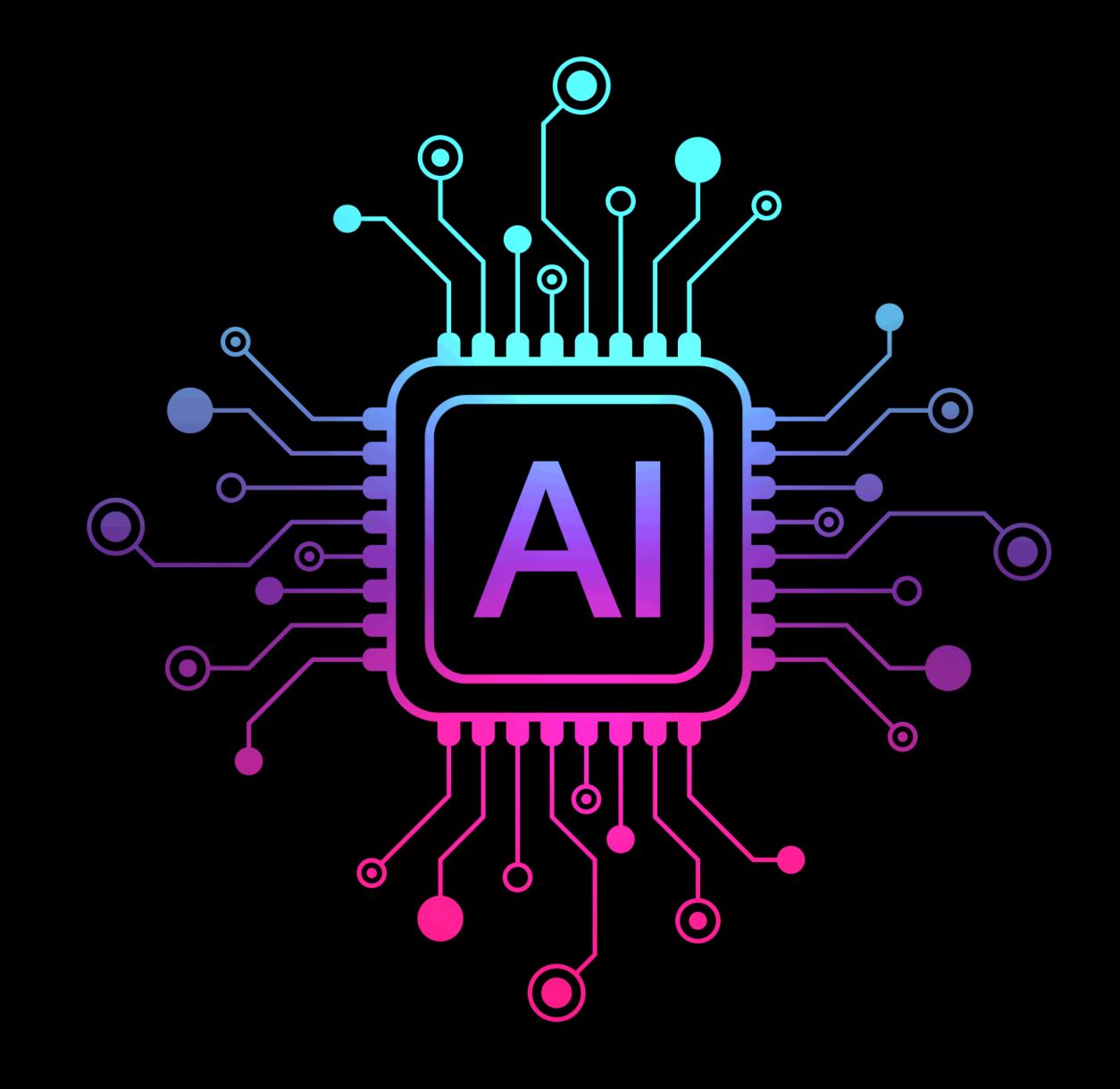


Automated decision-making and artificial intelligence governance journey

WILD 2025 meeting

Chris Rathborne Chief Information Digital Officer









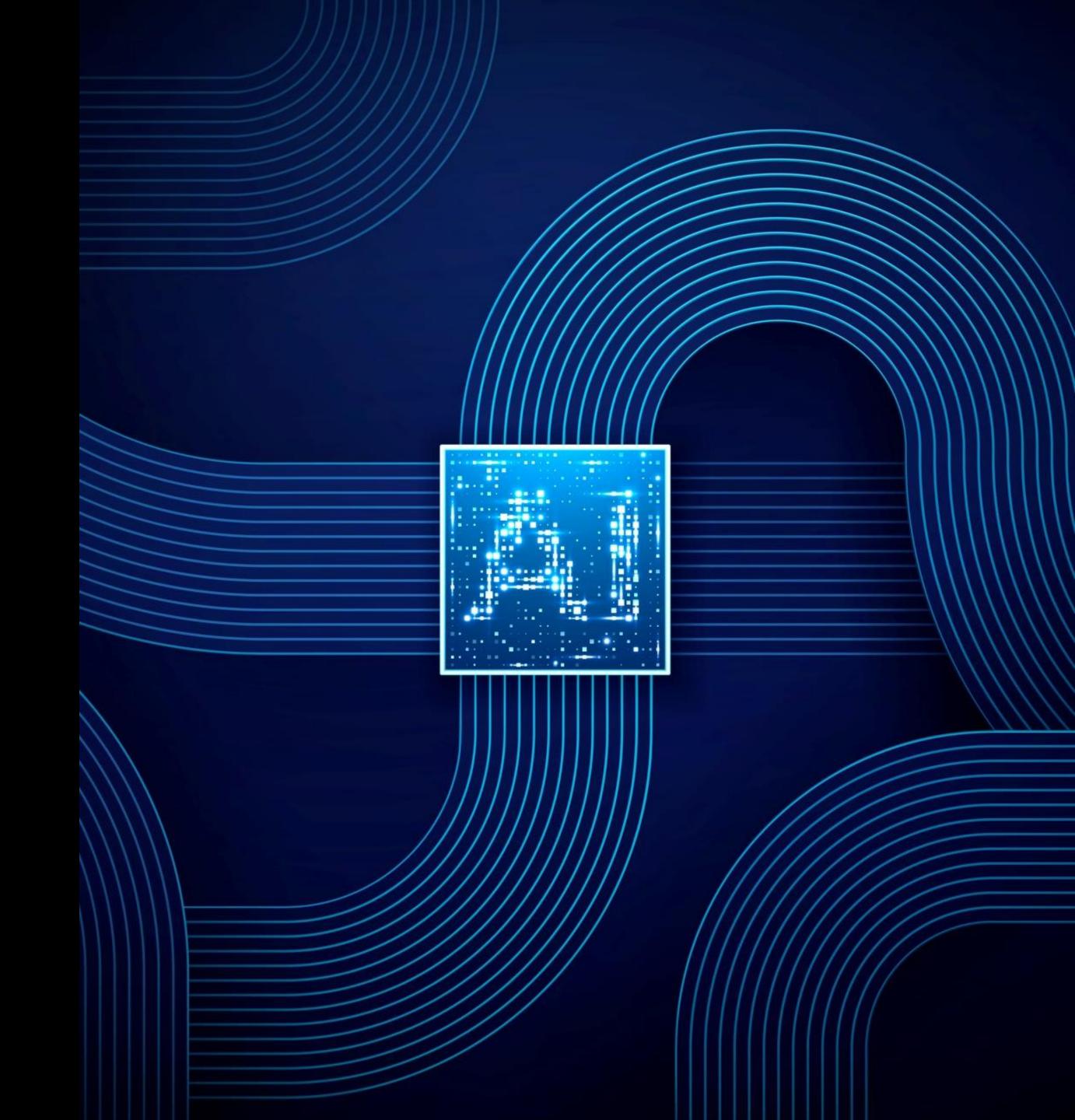


Why does IP Australia use automated decision-making and artificial intelligence

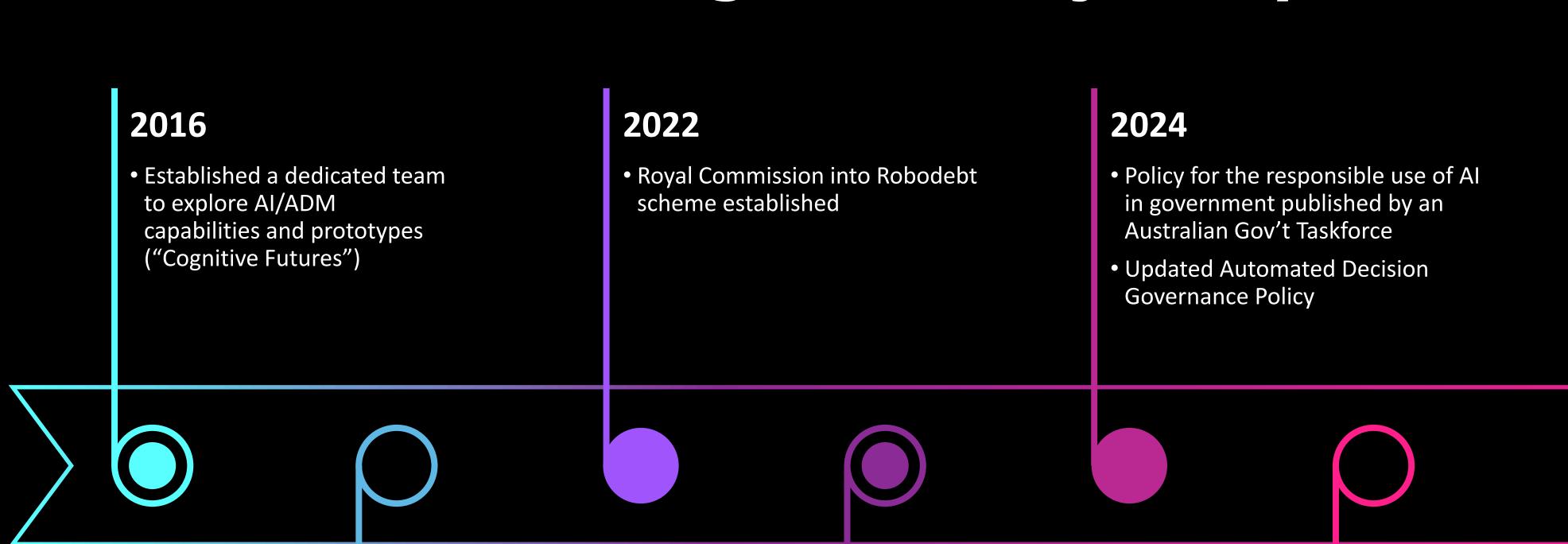
Enabling Australians to benefit from great ideas by providing a world-leading IP system

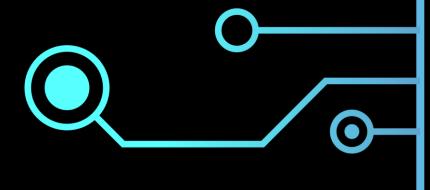
We use AI/ADM to:

- foster innovation and adaptiveness in our stewardship of the IP rights system
- to improve the efficiency and accessibility of the IP rights system
- to deliver innovative digital and data-driven customer services and staff experiences.



IP Australia's AI/ADM governance journey





2018

- Australian IP Laws amended to incorporate 'computerised decision-making'
- IP Australia Automated Decision Governance Framework and Policy first developed

2023

 Review of automated decisionmaking governance at IP Australia



- Develop and implement Al Governance Policy (ongoing)
- Publish first Al Transparency Statement (completed)









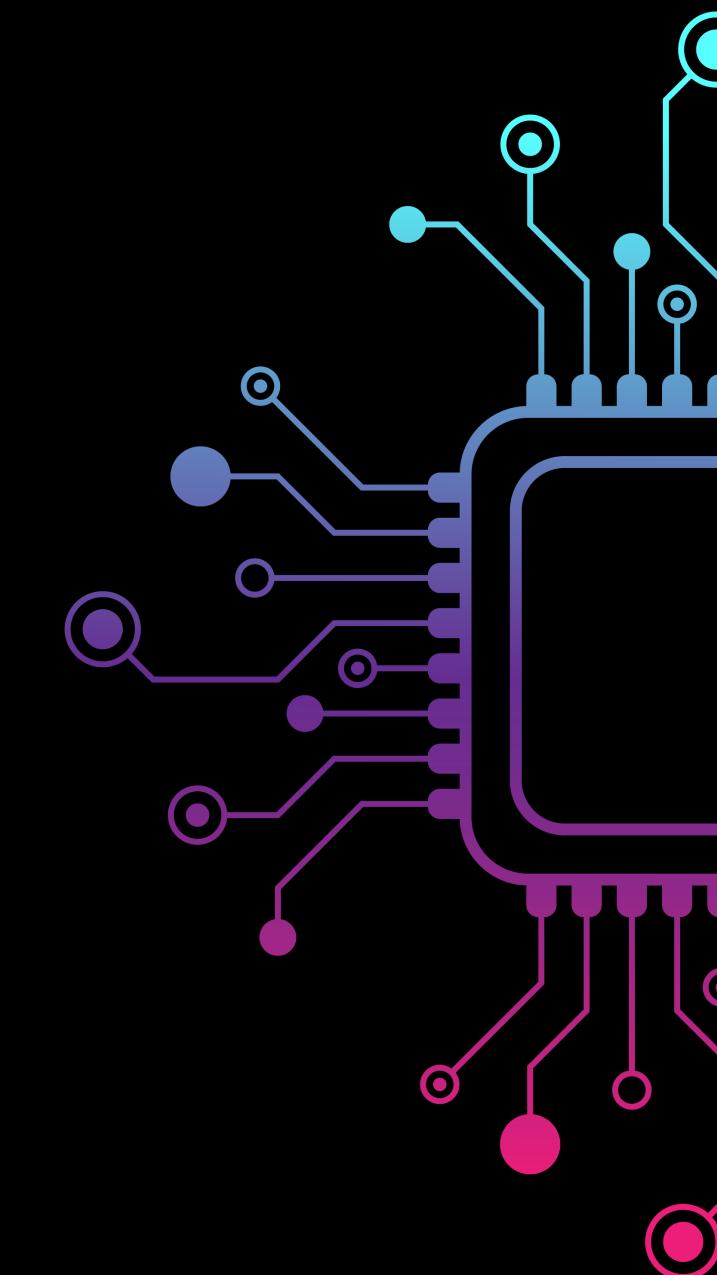
Review of AI/ADM at IP Australia (2023)

IP Australia was meeting its legal obligations under the IP rights legislation and Administrative Law

Governance of ADM systems at IP Australia was inconsistent due to the absence of a clear ADM definition

Current governance requirements were not proportionate to the level of risk associated with the ADM system

There is a global trend towards risk-based governance schemes to manage the implementation of ADM systems







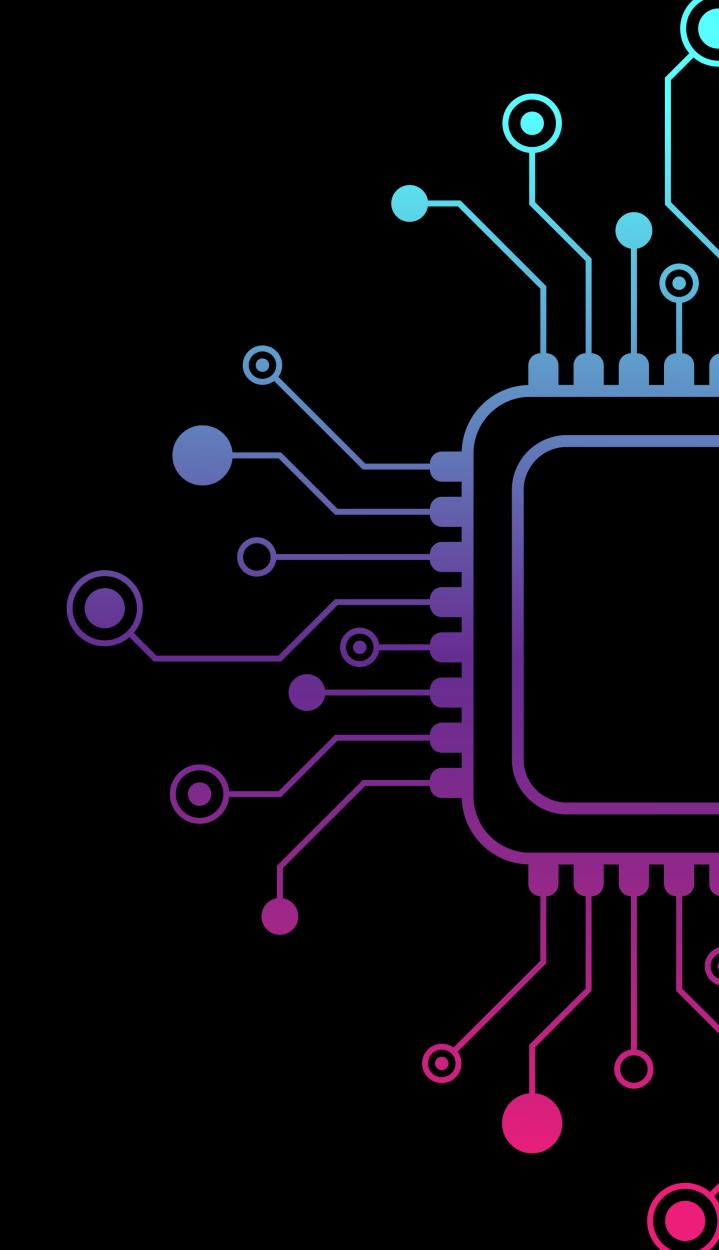




Developing a new AI and ADM policy

To develop our new policy and governance structures we considered:

- whole of Australian government guidance and directions
- global best practices for governance mechanisms
- the legislative requirements that are specific to our agency.











Aims of IP Australia's new AI and ADM policies

Embrace the benefits

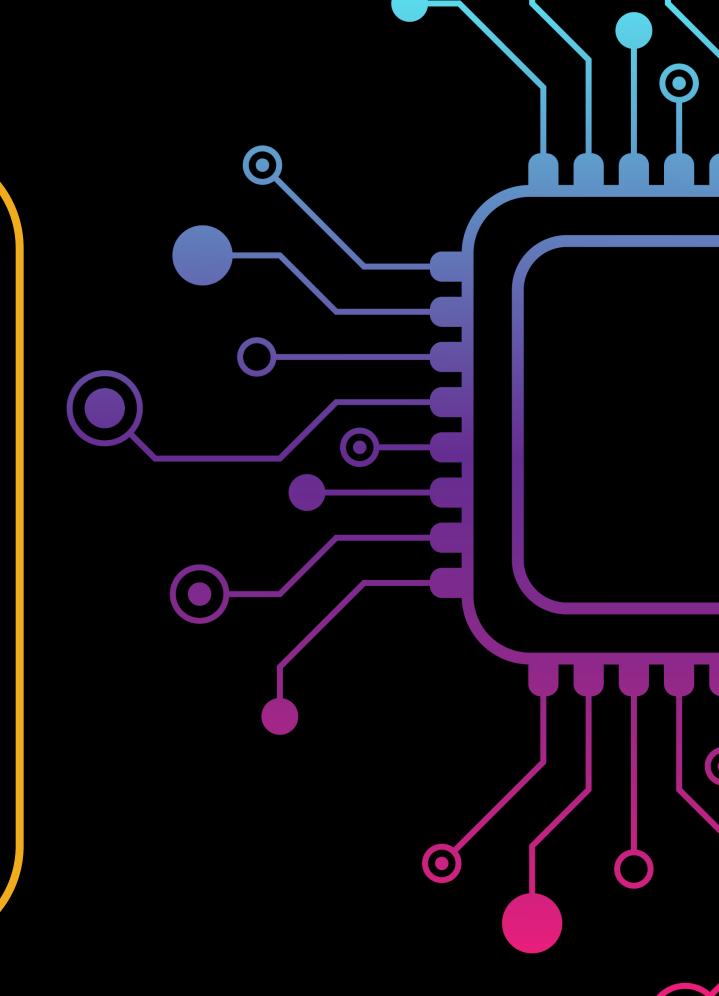
Enhance efficiency, accuracy, and consistency by leveraging AI/ADM technologies, while maintaining high standards of governance and accountability.

Strengthen public trust

Transparent and accountable governance processes to build public confidence, address concerns about bias, fairness, and privacy through clear communication about Al usage and data protection.

Adapt over time

Designed to be flexible and adaptive, allowing continuous updates to governance frameworks to stay aligned with advancements in Al technology, regulatory requirements, and societal expectations.







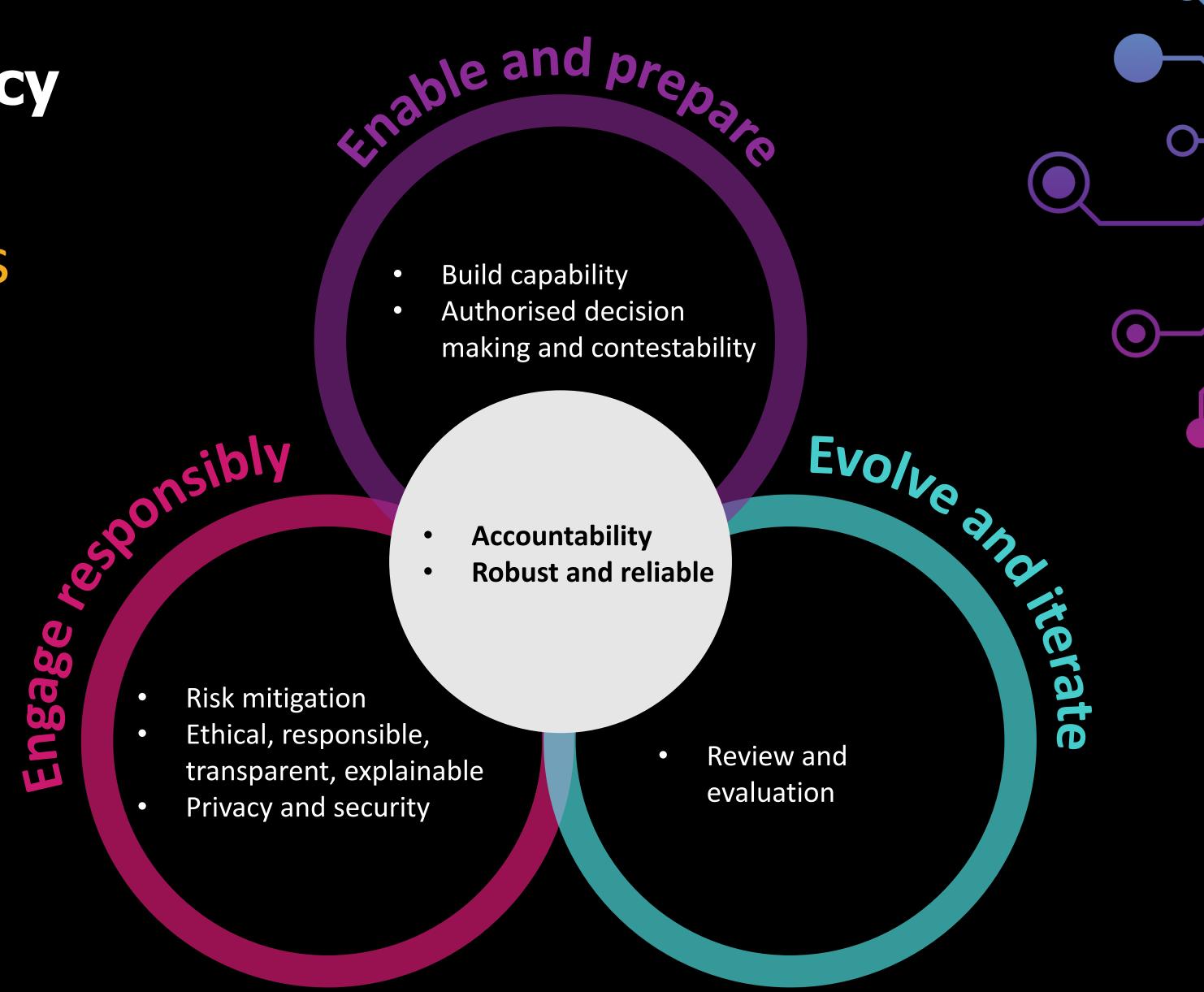




ADM governance policy

3 core policy principles

Policy principles align with Policy for the responsible use of Al in government.











AI/ADM-specific governance structures

Al Accountable Officer

Deputy Director General

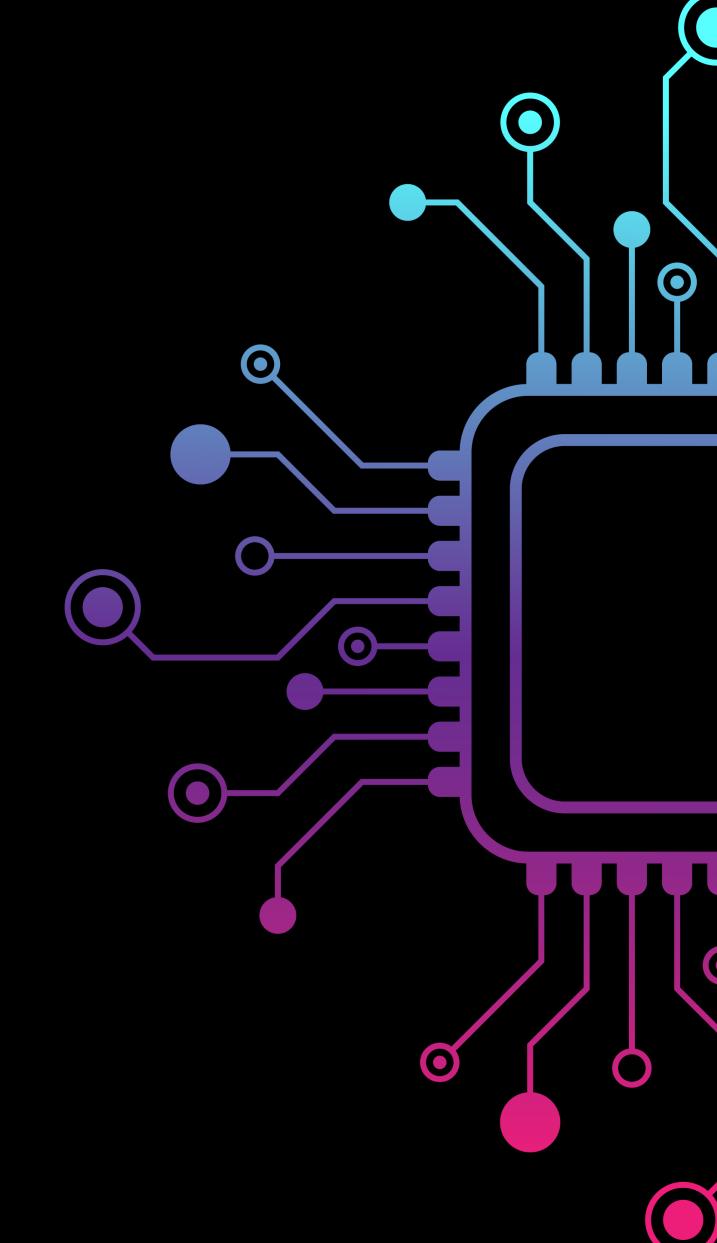
- Accountable for Al policy and governance processes.
- Contact point for wholeof-government Al coordination.
- Engage in whole-ofgovernment Al forums and processes.

Interim Al Governance Committee

- Approves internal AI & **ADM Governance** Policies.
- Monitors implementation of internal and external policies.

AI/ADM **Assessment Panel**

- A panel of experts that review and endorse risk assessments and governance plans.
- Provide expert advice to the development, implementation and maintenance of Al and ADM use cases within IP Australia.











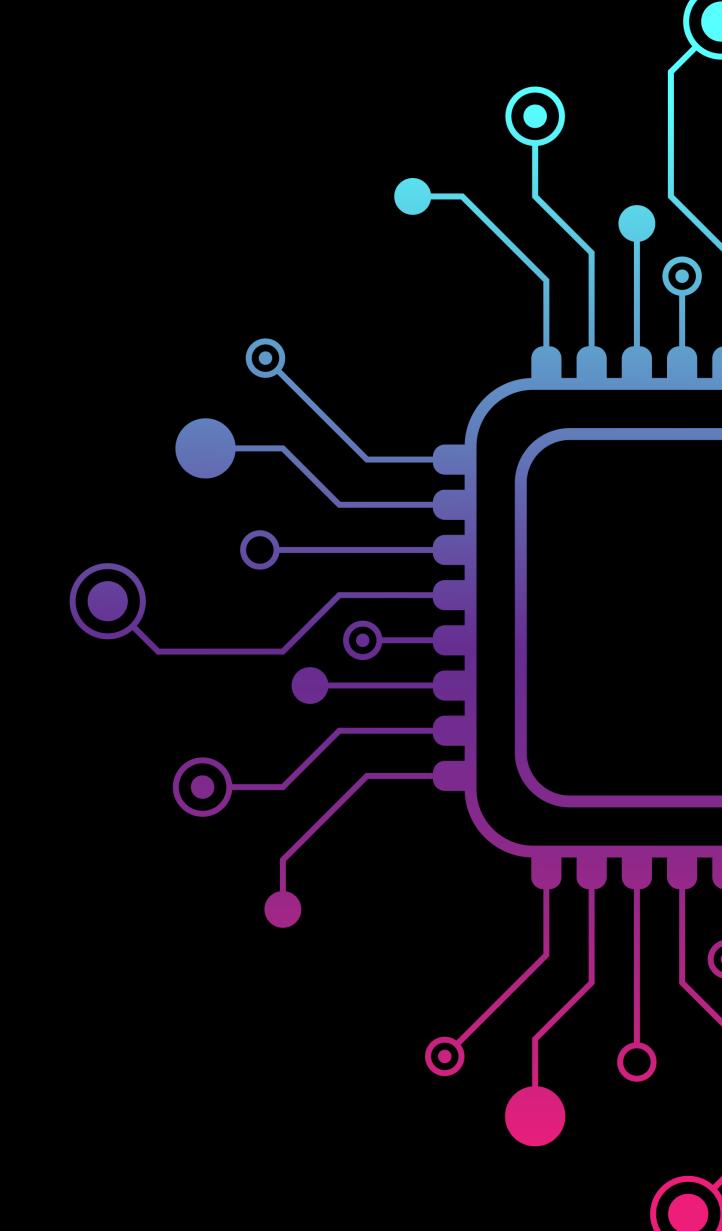
Leverage governance processes and assurance practices

Governance

- Data governance and data privacy
- Architectural governance
- Cyber security

Development assurance

- MLOps and DevOps practices (for managing the development, deployment, and maintenance of software and AI models)
- Digital Delivery and Assurance team (inhouse corporate testing)











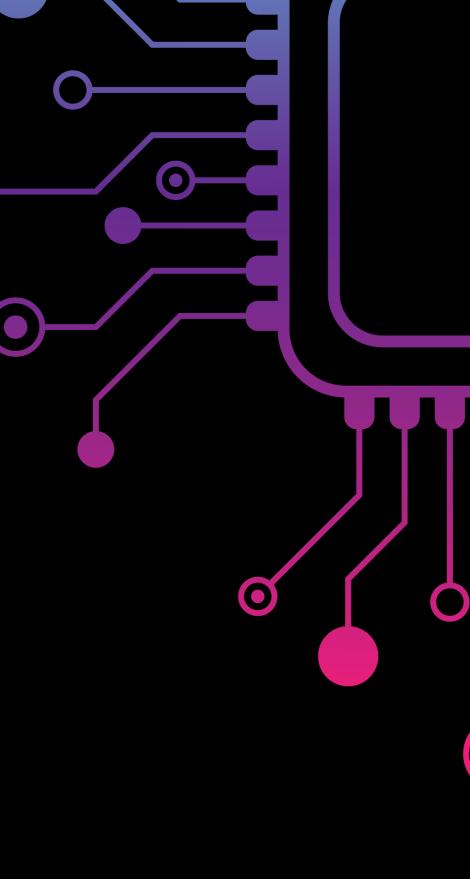


Dedicated AI/ADM Risk Framework

- Defines a risk-proportionate management process to identify and mitigate risk.
- Uses tailored risk assessment templates that are AI/ADM-specific.
- Established an AI/ADM assessment panel to review completed risk assessments.

AI/ADM-specific record management system

- An agency wide AI/ADM Use Case inventory.
- A repository for AI/ADM model cards.







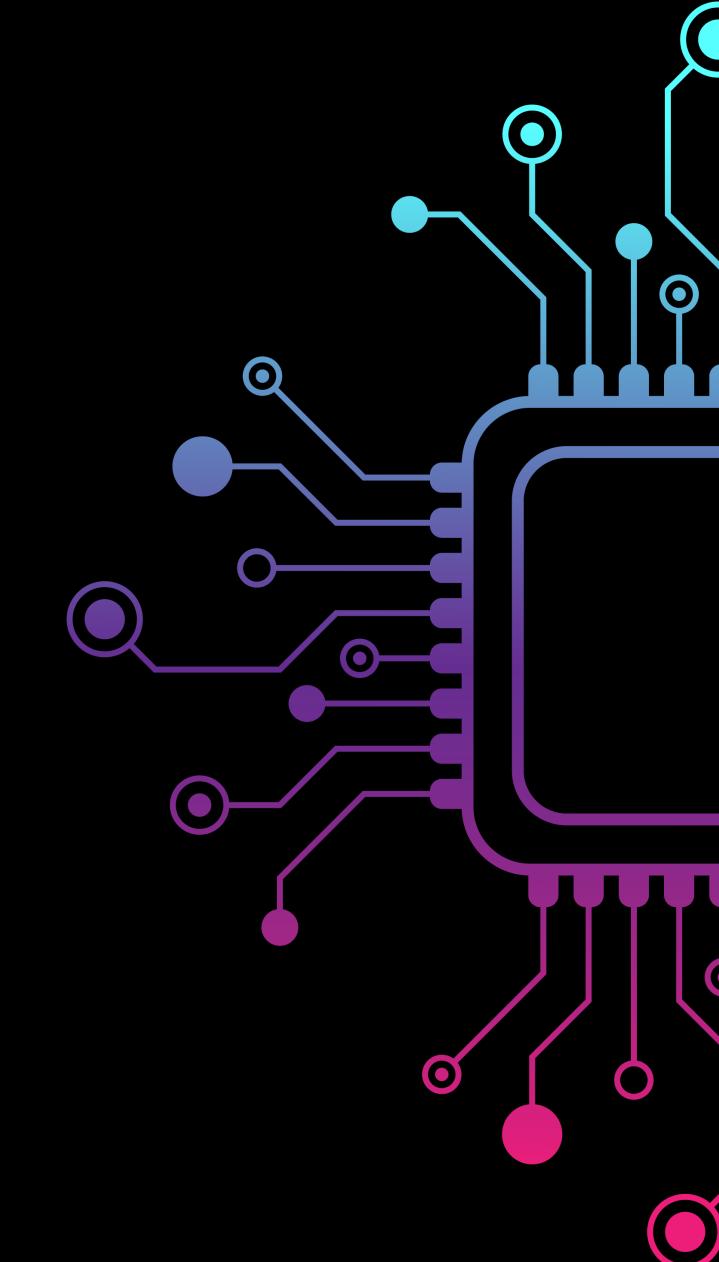




Improved transparency: Al Transparency Statement

Public information about:

- why we use Al
- how we Govern Al
- types of AI we use
- who our Al Accountable Official is
- where to go for more information.







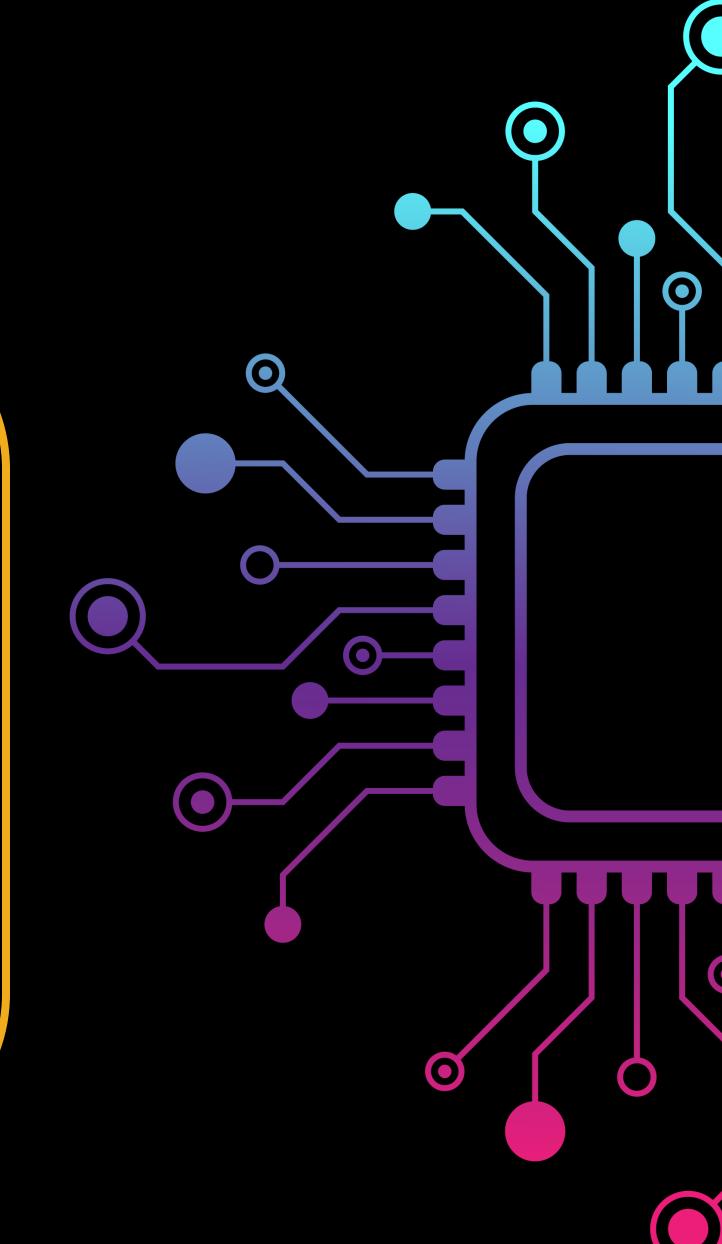






Current status

- New policies and the AI/ADM-specific risk framework have been in place since Dec 2024.
- An internal stock take has identified over 70 AI/ADM use cases that may need to go through the assessment process.
- Being applied to all AI/ADM uses including commercial products and inhouse builds.











Addressing the Future

Al Regulation in the EU (Al Act)

Public Algorithm Registers

Use of AI for Public Services

Government Al Ethics Boards

Al for Climate Policy & Urban Planning

Bias Auditing Mandates

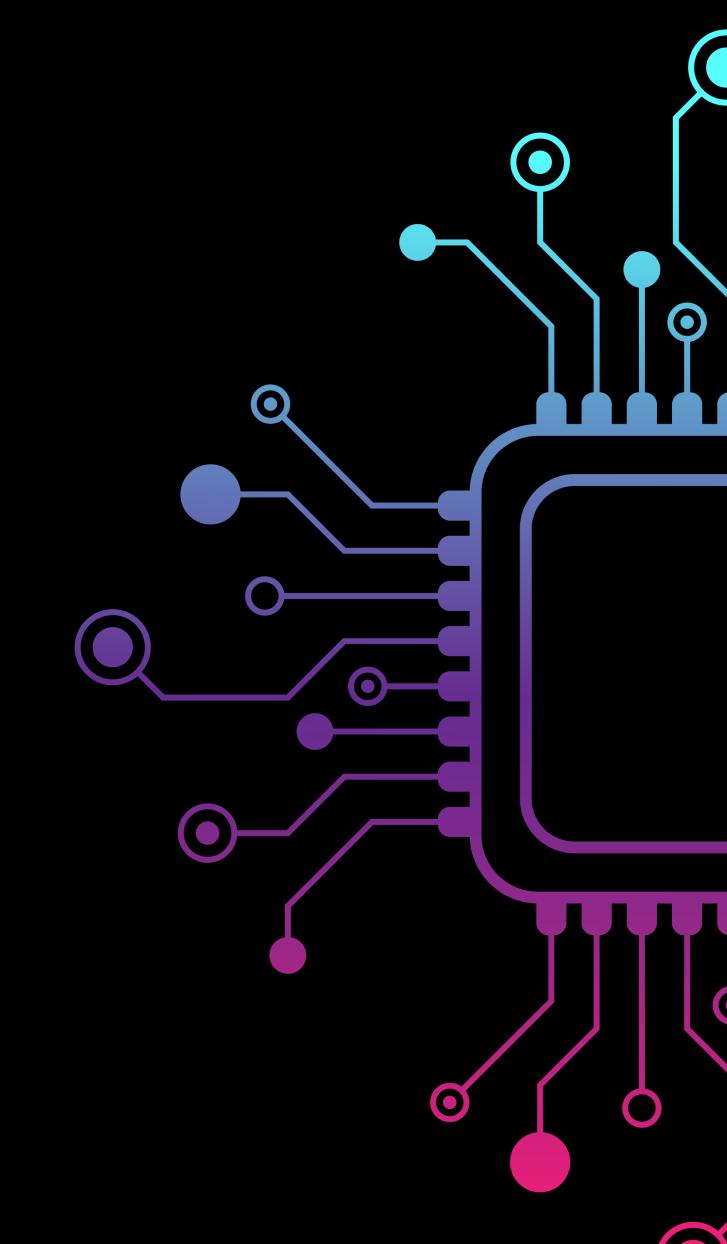
Digital ID and Facial Recognition













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